



Attorney's Docket No. 37428-6164

1741

PATENT 6/9
9/22/03

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TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gao et al.

Serial No.: 10/040,047

Filed: October 29, 2001

For: **POSITIVE ELECTRODE ACTIVE MATERIALS FOR SECONDARY
BATTERIES AND METHODS OF PREPARING SAME**

September 5, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of each of the identified document(s). It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully Submitted,

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Certificate of Mailing under 37 CFR 1.8 (or 1.10)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 5, 2003.

Sloan Smith

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office					Attorney Docket Number 208-6164		Serial No. 10/040.047
LIST OF DOCUMENTS CITED BY APPLICANT							
(Use several sheets if necessary)							
					Applicants: Gao et al.		
					Filing Date: October 29, 2001		Group 1741
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6,395,426	5/28/02	Imachi et al.	429	231.3	<i>RECEIVED SEP 09 2003 TC 1700</i>
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
	2.	EP0997957B1	10/28/99	EP	H01M	4/52	X
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

EXAMINER _____

*EXAMINER _____

DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.